

1. I/We shall not to undertake any form of selling/advisory activities in secondary market w.r.t securities and shall not manage the portfolio of any person who is being referred and shall strictly limit my/our role to "Referral" only.
2. The Clients so referred/introduced shall not be subjected to any kind of trade inducement by me/us.
3. I/We shall not be eligible to receive any details/information pertaining to the clients from PLPL or any of its representatives.
4. I/ We shall not be eligible to conduct In Person Verification (IPV)/Original Seen & Verified (OSV) of the clients so referred/introduced.
5. I/We shall not undertake any financial transaction with the clients so referred/introduced under the arrangement.
6. I/ We shall comply with the guidelines issued/to be issued by Exchanges and PLPL from time to time.
7. I/We agree that the rate of the incentive payable/paid to me/us by PLPL shall be flat (i.e. not slab based) and a single rate shall be applied.
8. I/ We agree and undertake that the payment of incentive to me/us shall be at the sole discretion of PLPL.
9. I/ We agree and undertake that no dispute/grievances shall arise upon the incentive payable/paid to me/us by PLPL.
10. I/ We agree and undertake that no disputes/grievances shall arise upon the incentive payable/paid to me/us by PLPL under investor protection or grievance redressal measures of the Exchange or be made a subject of Arbitration.