

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



## Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

### I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U65990MH1991PTC060157

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AACCP1090J

(ii) (a) Name of the company

PRABHUDAS LILLADHER ADVIS

(b) Registered office address

3RD FLOOR, SADHANA HOUSE,  
570 P. B. MARG, WORLI, BEHIND MAHINDRA TOWER,  
MUMBAI  
Maharashtra  
400018

(c) \*e-mail ID of the company

ROCCompliance@plindia.com

(d) \*Telephone number with STD code

02266322276

(e) Website

(iii) Date of Incorporation

07/02/1991

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Private Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	PRABHUDAS LILLADHER PRIVA	U67190MH1983PTC029670	Subsidiary	100
2	PRABHUDAS LILLADHER FINAN	U67120MH1996PTC100506	Subsidiary	100
3	PL CAPITAL MARKETS PRIVATE	U65190MH2007PTC169741	Subsidiary	100
4	PL COMMODITY MARKETS PRIM	U51100MH2005PTC157590	Subsidiary	100
5	PL FUND ADVISORS PRIVATE L	U74140MH2008PTC180645	Subsidiary	100
6	PL WEALTH PRIVATE LIMITED	U74140MH2008PTC186000	Subsidiary	100
7	PL INSURANCE BROKING SERV	U66010MH2008PTC184265	Subsidiary	100
8	PRABHUDAS LILLADHER IFSC F	U65999GJ2017PTC096512	Subsidiary	100

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	4,750,000	1,803,060	1,803,060	1,803,060
Total amount of equity shares (in Rupees)	47,500,000	18,030,600	18,030,600	18,030,600

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	4,750,000	1,803,060	1,803,060	1,803,060
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	47,500,000	18,030,600	18,030,600	18,030,600

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of preference shares	250,000	100	100	100
Total amount of preference shares (in rupees)	2,500,000	1,000	1,000	1,000

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
10% Non-cumulative Redeemable Preference share				
Number of preference shares	250,000	100	100	100
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	2,500,000	1,000	1,000	1,000

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	18,540	1,784,520	1803060	18,030,600	18,030,600	

<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
<b>At the end of the year</b>	18,540	1,784,520	1803060	18,030,600	18,030,600	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	100	0	100	1,000	1,000	0
i. Issues of shares	100	0	100	1,000	1,000	
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	100	0	100	1,000	1,000	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debtures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="27/09/2021"/>
Date of registration of transfer (Date Month Year)	<input type="text" value="01/11/2021"/>
Type of transfer	<input type="text" value="Preference Sha"/> 1 - Equity, 2- Preference Shares, 3 - Debtures, 4 - Stock
Number of Shares/ Debtures/ Units Transferred	<input type="text" value="1"/> Amount per Share/ Debture/Unit (in Rs.) <input type="text" value="10"/>

Ledger Folio of Transferor		P-1	
Transferor's Name	VORA	NIRAJ	AMISHA
	Surname	middle name	first name
Ledger Folio of Transferee		P-2	
Transferee's Name	Singh	Pal	Harindra
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		31/12/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	60,587	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		1201130000350268	
Transferor's Name	SHETH	PRABHUDAS	ARUN
	Surname	middle name	first name
Ledger Folio of Transferee		1201130000150671	
Transferee's Name	SHETH	ARUN	MIHIR
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		31/12/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	47,413	Amount per Share/ Debenture/Unit (in Rs.)	10

Ledger Folio of Transferor		1201130000350253	
Transferor's Name	SHETH	PRABHUDAS	ARUN
	Surname	middle name	first name
Ledger Folio of Transferee		1201130000150671	
Transferee's Name	SHETH	ARUN	MIHIR
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)		31/12/2021	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	56,451	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		1201130000350253	
Transferor's Name	SHETH	PRABHUDAS	ARUN
	Surname	middle name	first name
Ledger Folio of Transferee		1201130000353989	
Transferee's Name	SHETH	PRABHUDAS	ARUN
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)		31/12/2021	
Type of transfer	Preference Sha	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10

Ledger Folio of Transferor		P-1	
Transferor's Name	Vora	Niraj	Amisha
	Surname	middle name	first name
Ledger Folio of Transferee		P-3	
Transferee's Name	and Investments LLP		Leaps and Bounds Trade
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0



Particulars	Number of units	Nominal value per unit	Total value
Total			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

52,353,393

**(ii) Net worth of the Company**

394,602,224

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,731,060	96.01	98	98
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0

3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	0	0
10.	Others	0	0	0	0
	<b>Total</b>	1,731,060	96.01	98	98

Total number of shareholders (promoters)

6

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	18,540	1.03	1	1
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0

8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	0	0	1	1
10.	Others Trust	53,460	2.96	0	0
	<b>Total</b>	72,000	3.99	2	2

Total number of shareholders (other than promoters)

4

Total number of shareholders (Promoters+Public/  
Other than promoters)

10

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	4	6
Members (other than promoters)	2	4
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	4	1	4	1	90.01	5.99
<b>B. Non-Promoter</b>	0	0	0	0	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	4	1	4	1	90.01	5.99

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
MIHIR ARUN SHETH	00079530	Director	108,000	
ARUN PRABHUDAS SI	00086891	Director	368,041	
DHIREN PRABHUDAS	00087217	Director	389,489	
AMISHA NIRAJ VORA	00089193	Director	432,765	
DILIP PARMESHWAR I	00089257	Director	432,765	
NIKITA PRAKASH MIS*	BUUPM3871J	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
DHIREN PRABHUDAS	00087217	Managing Director	01/04/2021	Change in designation
AMISHA NIRAJ VORA	00089193	Managing Director	01/04/2021	Change in designation
DILIP PARMESHWAR I	00089257	Managing Director	01/04/2021	Change in designation

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
EGM	22/07/2021	6	4	96.01
EGM	27/07/2021	6	4	96.01
AGM	27/09/2021	6	4	96.01

**B. BOARD MEETINGS**

\*Number of meetings held

18

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	20/04/2021	5	5	100
2	05/05/2021	5	5	100
3	19/05/2021	5	5	100
4	30/06/2021	5	5	100
5	13/07/2021	5	5	100
6	22/07/2021	5	5	100
7	27/07/2021	5	5	100
8	05/08/2021	5	5	100
9	11/08/2021	5	5	100
10	22/09/2021	5	5	100
11	06/10/2021	5	5	100
12	01/11/2021	5	5	100

**C. COMMITTEE MEETINGS**

Number of meetings held

0

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on 22/07/2022 (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	

1	MIHIR ARUN	18	18	100	0	0	0	Yes
2	ARUN PRABH	18	18	100	0	0	0	Yes
3	DHIREN PRAI	18	18	100	0	0	0	Yes
4	AMISHA NIRAJ	18	18	100	0	0	0	Yes
5	DILIP PARME	18	18	100	0	0	0	Yes

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NIKITA PRAKASH I	Company Secre	653,403	0	0	29,808	683,211
	Total		653,403	0	0	29,808	683,211

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow  Associate  Fellow

Certificate of practice number

**I/We certify that:**

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

(c) The company has not, since the date of the closure of the last financial year with reference to which the last return was submitted or in the case of a first return since the date of the incorporation of the company, issued any invitation to the public to subscribe for any securities of the company.

(d) Where the annual return discloses the fact that the number of members, (except in case of a one person company), of the company exceeds two hundred, the excess consists wholly of persons who under second proviso to clause (ii) of sub-section (68) of section 2 of the Act are not to be included in reckoning the number of two hundred.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ..  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

To be digitally signed by

Director

Amisha Niraj Vora  
Digitally signed by Amisha Niraj Vora  
Date: 2022.09.20 19:07:24 +05'30'

DIN of the director

00089193

To be digitally signed by

ANKITA PRAKASH MISTRY  
Digitally signed by ANKITA PRAKASH MISTRY  
Date: 2022.09.20 19:08:24 +05'30'

Company Secretary

Company secretary in practice

Membership number

50130

Certificate of practice number

**Attachments**

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

**List of attachments**

Details of Board Meetings.pdf  
SHARE HOLDING PATTERN\_PLAD.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company