

CLOSURE REQUEST FORM – TRADING ACCOUNT

(Please fill all the details in Block Letters in English)

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To,
Prabhudas Lilladher Pvt. Ltd.
Mumbai

Dear Sir/Madam,

I/We, the holder of below-mentioned Trading Account, request you to close my / our account with you from the date of receipt of this application.

Name		Trading Code	
Closure of	<input type="checkbox"/> Equity Trading Account <input type="checkbox"/> Commodity Trading Account <input type="checkbox"/> Both Trading Accounts		
Reason for Closure	<input type="checkbox"/> Service Issue <input type="checkbox"/> Shifting to another Broker <input type="checkbox"/> Not interested in trading <input type="checkbox"/> _____		
Signature (as per that given on Trading A/c Opening Form)	X		

For PL Office use only:-

Closure Request received on / /	Verified & Account Closed by on / /
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CLOSURE REQUEST FORM – DEMAT ACCOUNT

Closure Initiated By	<input type="checkbox"/> BO	<input type="checkbox"/> DP	<input type="checkbox"/> CDSL
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I / We, the Sole Holder / Joint Holders / Guardian (in case of Minor) / Clearing Member of below-mentioned BO account, request you to close my / our account with you from the date of receipt of this application.

DP ID	1	2	0	1	1	3	0	0	Client ID						
First / Sole Holder's Name				Second Holder's Name				Third Holder's Name							
Address for Correspondence															
Reasons for Closure				<input type="checkbox"/> No holdings <input type="checkbox"/> Shifting of Account <input type="checkbox"/> Others (specify reason) _____											

Details of remaining security balances in BO account (if any)

<input type="checkbox"/> Partly rematerialised and partly transferred. <input type="checkbox"/> Rematerialised															
<input type="checkbox"/> Transferred to another account (Number given below) <input type="checkbox"/> Not applicable															
DP ID										Client ID					
Balance present in a/c for (to be filled by DP, if applicable)				<input type="checkbox"/> Ear-marked <input type="checkbox"/> Pledged <input type="checkbox"/> Pending for Dematerialisation <input type="checkbox"/> Frozen <input type="checkbox"/> Pending for Rematerialisation <input type="checkbox"/> Lock-in <input type="checkbox"/> Not Applicable											

Note:- 1. Submit a duly filled Rematerialisation Request Form if the balances are to be rematerialised.

2. Submit a duly filled Off-market Instruction Slip if balances are to be transferred to another account (NOT APPLICABLE IN ACCOUNT TRANSFER CASES)

DECLARATION: In case of Account Closure due to SHIFTING OF ACCOUNT (Account Transfer cases only):

I/We declare and confirm that all the transactions in my/our demat account are true/ authentic.

	First / Sole Holder	Second Holder	Third Holder
Signature (as per that given on DP A/c Opening Form)	X	X	X

* If DP or CDSL initiates account closure, Signature(s) of account holder(s) not required.

For DP office use:-

Closure Request received on / /	Sign Verified & Account Closed by on / /
DP Seal	

Acknowledgement Receipt

Application No.	CDS/00	Date	D	D	M	M	Y	Y	Y	Y
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Closure of	<input type="checkbox"/> Equity / Commodity Trading Account	<input type="checkbox"/> Only Demat Account	<input type="checkbox"/> Both Trading & Demat Account
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BO Account Closure Initiated By	<input type="checkbox"/> BO	<input type="checkbox"/> DP	<input type="checkbox"/> CDSL
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We hereby acknowledge the receipt of your instruction for Closing the following Account/s **subject to verification**: -

Trading Account																	
DP ID	1	2	0	1	1	3	0	0	Client ID	0	0						
Name of Account Holder/s																	
Reason for Closure of Trading / Demat account	<input type="checkbox"/> Service Issue <input type="checkbox"/> Shifting to another Broker <input type="checkbox"/> Not interested in trading <input type="checkbox"/> No holdings <input type="checkbox"/> Shifting of Account <input type="checkbox"/> Others _____																

Received on: Date:

Time:

 DP Authorised Signatory

 DP Seal

CLOSURE PRE-CHECKS

Following pre-checks to be done before sending the requests for Closure of Trading &/or DP accounts to enable us to process them immediately without rejections

- Name, Address and Signature (*of all holders*) must tally with that recorded with us
- Trading & DP Ledger Balances should have NIL debits or a credit balance. The closure request shall be processed subject to clearance of dues payable to us in Trading A/c and DP account including charges accrued but not debited to the ledger. Please contact HO/BM/PP to ascertain accrued charges, if any.
- Before submission of the closure requests, please ensure that –
 - Your stocks are not held in our Pool or Margin account.
 - All securities in your Demat account are transferred to another account.
- If Trading Account is being closed, ensure that your demat account held with us is also being closed simultaneously
- If only demat account held with us is being closed, then please ensure that your Trading account is updated with another BO account held by you.
- If BO account without holdings is being closed on account of death of any joint holder, then surviving holders must sign this request and attach a notarised copy of Death Certificate of deceased holder. Additionally, notifier details should be provided in case of sole or any of the joint holder case. Format of notifier is available in www.plclients.com -> Utility-> Download forms
- In Account Transfer cases, copy of DP Client Master of target BO account, duly signed & stamped by the concerned DP's official must be submitted alongwith this closure request
- In case of Corporate account closure , a Board resolution for closure of account shall be submitted along with Account closure form