

### **INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF DEBT SECURITIES**

### Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to public issue of Debt Securities:

#### Data for the month ended January 31, 2025:

Sr.	Received from	Pending	Received	Resolved	Total	Pending	Average
No.		as at the end of last	during particular	during particular	Pending during	complaints	<b>Resolution time^</b>
		month	month	month*	particular month #	> 1 month	(in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr.	Month	Received during	Resolved during	Pending at the end
No.		particular month	particular month*	of particular
1	April, 2024	0	0	0
2	May, 2024	0	0	0
3	June, 2024	0	0	0
4	July, 2024	0	0	0
5	August, 2024	0	0	0
6	September, 2024	0	0	0
7	October, 2024	0	0	0
8	November, 2024	0	0	0
9	December, 2024	0	0	0
10	January, 2025	0	0	0
11	February, 2025			
12	March, 2025			

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0



### INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to public issue of non-convertible redeemable preference shares:

#### Data for the month ended January 31, 2025:

Sr.	Received from	Pending	Received	Resolved	Total	Pending	Average
No.		as at the end of last	during particular	during particular	Pending during	complaints	Resolution time^
		month	month	month*	particular month #	> 1 month	(in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr. No.	Month	Received during particular month	Resolved during particular month*	Pending at the end of particular
1	April, 2024	0	0	0
2	May, 2024	0	0	0
3	June, 2024	0	0	0
4	July, 2024	0	0	0
5	August, 2024	0	0	0
6	September, 2024	0	0	0
7	October, 2024	0	0	0
8	November, 2024	0	0	0
9	December, 2024	0	0	0
10	January, 2025	0	0	0
11	February, 2025			
12	March, 2025			

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month. \* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0



### INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF DEBT SECURITIES AND OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to private placement of debt securities and nonconvertible redeemable preference shares:

#### Data for the month ended January 31, 2025:

Sr.	Received from	Pending	Received	Resolved	Total	Pending	Average
No.		as at the end of last	during particular	during particular	Pending during	complaints	Resolution time^
		month	month	month*	particular month #	> 1 month	(in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

### Trend of monthly disposal of complaints for the financial year:

Sr. No.	Month	Received during particular month	Resolved during particular month*	Pending at the end of particular
1	April, 2024	0	0	0
2	May, 2024	0	0	0
3	June, 2024	0	0	0
4	July, 2024	0	0	0
5	August, 2024	0	0	0
6	September, 2024	0	0	0
7	October, 2024	0	0	0
8	November, 2024	0	0	0
9	December, 2024	0	0	0
10	January, 2025	0	0	0
11	February, 2025			
12	March, 2025			

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

Sr.	Year	Carried forward from	Received during	<b>Resolved during</b>	Pending at the end
No.		previous year	particular year	particular year	of particular year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0



## INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF MUNICIPAL DEBT SECURITIES

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to private placements of Municipal debt securities:

#### Data for the month ended January 31, 2025:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

### Trend of monthly disposal of complaints for the financial year:

Sr.	Month	Carried forward from	Received during the	Resolved during	Pending at the end of
No.		previous month	month	the month *	month #
1	April, 2024	0	0	0	0
2	May, 2024	0	0	0	0
3	June, 2024	0	0	0	0
4	July, 2024	0	0	0	0
5	August, 2024	0	0	0	0
6	September, 2024	0	0	0	0
7	October, 2024	0	0	0	0
8	November, 2024	0	0	0	0
9	December, 2024	0	0	0	0
10	January, 2025	0	0	0	0
11	February, 2025				
12	March, 2025				

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

Sr. No.	Year	Carried forward from previous year	8		Pending at the end of the particular year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0



### **INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF INVITS**

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to private placement of units by InvITs proposed to be listed:

#### Data for the month ended January 31, 2025:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

### Trend of monthly disposal of complaints for the financial year:

Sr.	Month	Carried forward from	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous month	month	the month *	month #
1	April, 2024	0	0	0	0
2	May, 2024	0	0	0	0
3	June, 2024	0	0	0	0
4	July, 2024	0	0	0	0
5	August, 2024	0	0	0	0
6	September, 2024	0	0	0	0
7	October, 2024	0	0	0	0
8	November, 2024	0	0	0	0
9	December, 2024	0	0	0	0
10	January, 2025	0	0	0	0
11	February, 2025				
12	March, 2025				

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month. \* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

Sr.	Year	Carried forward from	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous year	year	the year	the year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0



### **HINVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF INVITS**

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to public issue of units by InvITs:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

### Data for the month ended January 31, 2025:

#### Monthly trend for the financial year:

Sr.	Month	Carried forward from	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous month	month	the month *	month #
1	April, 2024	0	0	0	0
2	May, 2024	0	0	0	0
3	June, 2024	0	0	0	0
4	July, 2024	0	0	0	0
5	August, 2024	0	0	0	0
6	September, 2024	0	0	0	0
7	October, 2024	0	0	0	0
8	November, 2024	0	0	0	0
9	December, 2024	0	0	0	0
10	January, 2025	0	0	0	0
11	February, 2025				
12	March, 2025				

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

\* Inclusive of complaints of previous months resolved in the current month. # Inclusive of complaints pending as on the last day of the month.

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0



## **INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF REITS**

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to public issue of units by REITs:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

### Data for the month ended January 31, 2025:

#### Monthly trend for the financial year:

Sr.	Month	Carried forward from	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous month	month	the month *	month #
1	April, 2024	0	0	0	0
2	May, 2024	0	0	0	0
3	June, 2024	0	0	0	0
4	July, 2024	0	0	0	0
5	August, 2024	0	0	0	0
6	September, 2024	0	0	0	0
7	October, 2024	0	0	0	0
8	November, 2024	0	0	0	0
9	December, 2024	0	0	0	0
10	January, 2025	0	0	0	0
11	February, 2025				
12	March, 2025				

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month. \* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
	Grand total	0	0	0	0



### INVESTORS COMPLAINTS DATA FOR INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)

# Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS):

#### Data for the month ended January 31, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	0	Total Pending during the particular month #	· ·	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr.	Month	Carried forward from	8	0	Pending at the end of
No.		previous month	month	the month *	month #
1	August, 2024	0	0	0	0
2	September, 2024	0	1	1	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	1	1	0
6	January, 2025	0	0	0	0
	Grand Total	0	2	2	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month.

Sr.	Year	Carried forward from	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous year	year	the year	the year
1	2020	0	0	0	0
2	2021	0	0	0	0
3	2022	0	0	0	0
4	2023	0	0	0	0
5	2024	0	2	2	0
	Grand total	0	2	2	0



### INVESTORS COMPLAINTS DATA FOR SMALL AND MEDIUM ENTERPRISES (SME)-INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS) by Small and Medium Enterprises:

#### Data for the month ended January 31, 2025:

Sr.	Received from	Pending as at the end	Received during the	<b>Resolved during</b>	<b>Total Pending during</b>	Pending complaints	Average Resolution
No.		of last month	particular month	the particular	the particular month	> 1 month	time^\
				month*	#		(in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
6	January, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month \* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month.

Sr.	Year	<b>Carried forward from</b>	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous year	year	the year	the year
1	2020	0	0	0	0
2	2021	0	0	0	0
3	2022	0	0	0	0
4	2023	0	0	0	0
5	2024	0	0	0	0
	Grand total	0	0	0	0



## **INVESTORS COMPLAINTS DATA FOR RIGHTS ISSUE**

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to Rights Issue:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	0 1	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

### Data for the month ended January 31, 2025:

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
6	January, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month \* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month.

Sr.	Year	Carried forward from	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous year	year	the year	the year
1	2020	0	0	0	0
2	2021	0	0	0	0
3	2022	0	0	0	0
4	2023	0	0	0	0
5	2024	0	0	0	0
	Grand total	0	0	0	0



### INVESTORS COMPLAINTS DATA FOR QUALIFIED INSTITUTIONS PLACEMENT (OIP)

Details of investors complaints' received by PL Capital Markets Private Limited up to December 31, 2024 with respect to Qualified Institutions Placement (QIP):

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month	· ·	Average Resolution time^\ (in days)
				monti	#		(in uays)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

#### Data for the month ended December 31, 2024:

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr.	Month	Carried forward from	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous month	month	the month *	month #
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month \* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month.

Sr.	Year	Carried forward from	Received during the	8	Pending at the end of
No.		previous year	year	the year	the year
1	2020	0	0	0	0
2	2021	0	0	0	0
3	2022	0	0	0	0
4	2023	0	0	0	0
5	2024	0	0	0	0
	Grand total	0	0	0	0



### INVESTORS COMPLAINTS DATA FOR PREFERENTIAL ISSUE

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to Preferential Issue:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	0 I	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

### Data for the month ended January 31, 2025:

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
6	January, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month \* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month.

Sr.	Year	Carried forward from	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous year	year	the year	the year
1	2020	0	0	0	0
2	2021	0	0	0	0
3	2022	0	0	0	0
4	2023	0	0	0	0
5	2024	0	0	0	0
	Grand total	0	0	0	0



### **INVESTORS COMPLAINTS DATA FOR BUYBACK OF SECURITIES**

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to Buyback of Securities:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #		Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

### Data for the month ended January 31, 2025:

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr.	Month	Carried forward from	Received during the		Pending at the end of
No.		previous month	month	the month *	month #
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
6	January, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month \* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month.

Sr.	Year	<b>Carried forward from</b>	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous year	year	the year	the year
1	2020	0	0	0	0
2	2021	0	0	0	0
3	2022	0	0	0	0
4	2023	0	0	0	0
5	2024	0	0	0	0
	Grand total	0	0	0	0



### INVESTORS COMPLAINTS DATA FOR DELISTING OF EQUITY SHARES

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to Delisting of Equity Shares:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	· ·	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

### Data for the month ended January 31, 2025:

### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr.	Month	Carried forward from	Received during the		Pending at the end of
No.		previous month	month	the month *	month #
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
6	January, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month \* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month.

Sr.	Year	Carried forward from	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous year	year	the year	the year
1	2020	0	0	0	0
2	2021	0	0	0	0
3	2022	0	0	0	0
4	2023	0	0	0	0
5	2024	0	0	0	0
	Grand total	0	0	0	0



## INVESTORS COMPLAINTS DATA FOR SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS

### Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025 with respect to Substantial Acquisitions of Shares and Takeovers:

#### Data for the month ended January 31, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular	Total Pending during the particular month	0 1	Average Resolution time^\
				month*	#		(in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	August, 2024	0	0	0	0
2	September, 2024	0	0	0	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	0	0	0
6	January, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

\* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints pending as on the last day of the month.

Sr.	Year	Carried forward from	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous year	year	the year	the year
1	2020	0	0	0	0
2	2021	0	2	2	0
3	2022	0	0	0	0
4	2023	0	0	0	0
5	2024	0	0	0	0
	Grand total	0	2	2	0



#### COLLECTIVE INVESTORS COMPLAINTS DATA FOR i. INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS) ii. SMALL AND MEDIUM ENTERPRISES (SME)-INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS) iii. RIGHTS ISSUE - OUAL LEED INSTITUTIONS DI ACCIMENT (OD)

#### iv. <u>QUALIFIED INSTITUTIONS PLACEMENT (QIP)</u> v. <u>PREFERENTIAL ISSUE</u> vi. <u>BUYBACK OF SECURITIES</u> vii. <u>DELISTING OF EQUITY SHARES</u> viii. <u>SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS</u>

Details of investors complaints' received by PL Capital Markets Private Limited up to January 31, 2025

#### Data for the month ended January 31, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	· · ·	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr.	Month	<b>Carried forward from</b>	Received during the	<b>Resolved during</b>	Pending at the end of
No.		previous month	month	the month *	month #
1	August, 2024	0	0	0	0
2	September, 2024	0	1	1	0
3	October, 2024	0	0	0	0
4	November, 2024	0	0	0	0
5	December, 2024	0	1	1	0
6	January, 2025	0	0	0	0
	Grand Total	0	2	2	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month \* Inclusive of complaints of previous months resolved in the current month

# Inclusive of complaints of previous months resolved in the current in # Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

#### **Resolved during** Carried forward from Received during the Pending at the end of Year Sr. No. previous year year the year the year 2020 0 0 0 0 1 2 2021 2 2 0 0 3 2022 0 0 0 0 4 2023 0 0 0 0 5 2024 0 2 2 0 Grand total 0 4 4 0