

Policy on Voluntary Freezing/Blocking of the trading Account by clients

As per the SEBI circular no. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated 12-Jan-2024 and NSE circular No. NSE/INSP/61529 dated 08-Apr-2024, it has been decided that the framework for Trading Members to provide the facility of voluntary freezing/blocking the online access of the trading account to their clients on account of suspicious activities. Accordingly, the following policy is being implemented in PL for their clients.

Clients will have the following option to block its trading account.

- 1. Client can call on1860 210 2222 and request to block/freeze his account.
- 2. Client can send email to <u>stoptrade@plindia.com</u> requesting to block/freeze his account.

On receipt of the request from client, PL team will check for the open positions in the client account and will send the confirmation mail to client on the open positions if any. A communication is sent to client registered email id informing on the status of account getting blocked. Basis the clients confirmation PL team will initiate the blocking of client accounts in back-office and in front end on the same day. Record is blocked with reason code as **"Blocked as per client request"**. Also submit the request in exchange to mark the code as inactive.

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