

INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF DEBT SECURITIES

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to public issue of Debt Securities:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr. No.	Month	Received during particular month	Resolved during particular month*	Pending at the end of particular
1	May, 2025	0	0	0
2	June, 2025	0	0	0
3	July, 2025	0	0	0
4	August, 2025	0	0	0
5	September, 2025	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2022-23	0	0	0	0
2	2023-24	0	0	0	0
3	2024-25	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to public issue of non-convertible redeemable preference shares:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr. No.	Month	Received during particular month	Resolved during particular month*	Pending at the end of particular
1	May, 2025	0	0	0
2	June, 2025	0	0	0
3	July, 2025	0	0	0
4	August, 2025	0	0	0
5	September, 2025	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2022-23	0	0	0	0
2	2023-24	0	0	0	0
3	2024-25	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF DEBT SECURITIES AND OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to private placement of debt securities and non-convertible redeemable preference shares:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during particular month	Resolved during particular month*	Total Pending during particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr. No.	Month	Received during particular month	Resolved during particular month*	Pending at the end of particular
1	May, 2025	0	0	0
2	June, 2025	0	0	0
3	July, 2025	0	0	0
4	August, 2025	0	0	0
5	September, 2025	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during particular year	Resolved during particular year	Pending at the end of particular year
1	2022-23	0	0	0	0
2	2023-24	0	0	0	0
3	2024-25	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF MUNICIPAL DEBT SECURITIES

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to private placements of Municipal debt securities:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2025	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Last 3 years' trend

Sr. No.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular	Pending at the end of the particular
1	2022-23	0	0	0	0
2	2023-24	0	0	0	0
3	2024-25	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF INVITs

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to private placement of units by InvITs proposed to be listed:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints for the financial year:

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2025	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Last 3 years' trend

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2022-23	0	0	0	0
2	2023-24	0	0	0	0
3	2024-25	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF INVITs

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to public issue of units by InvITs:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Monthly trend for the financial year:

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2025	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Last 3 years' trend

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2022-23	0	0	0	0
2	2023-24	0	0	0	0
3	2024-25	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF REITs

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to public issue of units by REITs:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Monthly trend for the financial year:

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Last 3 years' trend

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2022-23	0	0	0	0
2	2023-24	0	0	0	0
3	2024-25	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS):

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2025	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	2	2	0
5	2025	0	0	0	0
	Grand total	0	2	2	0

INVESTORS COMPLAINTS DATA FOR SMALL AND MEDIUM ENTERPRISES (SME)-INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS) by Small and Medium Enterprises:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2025	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0
5	2025	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR RIGHTS ISSUE

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to Rights Issue:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2025	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0
5	2025	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR QUALIFIED INSTITUTIONS PLACEMENT (QIP)

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to Qualified Institutions Placement

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ \ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2025	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0
5	2025	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR PREFERENTIAL ISSUE

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to Preferential Issue:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2025	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0
5	2025	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR BUYBACK OF SECURITIES

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to Buyback of Securities:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ \ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2025	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0
5	2025	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR DELISTING OF EQUITY SHARES

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to Delisting of Equity Shares:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ \ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0
5	2025	0	0	0	0
	Grand total	0	0	0	0

INVESTORS COMPLAINTS DATA FOR SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025 with respect to Substantial Acquisitions of Shares and Takeovers:

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2025	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	August, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	0	2	2	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	0	0	0
5	2025	0	0	0	0
	Grand total	0	2	2	0

COLLECTIVE INVESTORS COMPLAINTS DATA FOR

i. **INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)**

ii. **SMALL AND MEDIUM ENTERPRISES (SME)-INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)**

iii. **RIGHTS ISSUE**

iv. **QUALIFIED INSTITUTIONS PLACEMENT (QIP)**

v. **PREFERENTIAL ISSUE**

vi. **BUYBACK OF SECURITIES**

vii. **DELISTING OF EQUITY SHARES**

viii. **SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS**

Details of investors complaints' received by PL Capital Markets Private Limited up to September 30, 2025

Data for the month ended September 30, 2025:

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis):

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	May, 2025	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2025	0	0	0	0
4	August, 2025	0	0	0	0
5	September, 2025	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	0	0	0	0
2	2022	0	0	0	0
3	2023	0	0	0	0
4	2024	0	2	2	0
5	2025	0	0	0	0
	Grand total	0	2	2	0