**INVESTORS COMPLAINTS DATA FOR INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)**

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS):**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month# | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 2 | 1 | 0 | 3 | 0 | - |
| 2 | SEBI (SCORES) | 1 | 3 | 2 | 2 | 0 | 28 |
| 3 | Stock Exchanges (if relevant) | 3 | 1 | 0 | 4 | 0 | - |
| 4 | Other Sources (if any) | 3 | 1 | 0 | 4 | 0 | - |
| | **Grand Total** | **9** | **6** | **2** | **13** | **0** | **-** |

*Note: Some complaints received from Stock Exchanges / Other Sources (SEBI) are the same, which were received from Investors / uploaded at SCORES;*

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 6 | 1 | 5 |
| 2 | July, 2026 | 5 | 12 | 2 | 15 |
| 3 | August, 2026 | 15 | 8 | 20 | 3 |
| 4 | September, 2026 | 3 | 22 | 16 | 9 |
| 5 | October, 2026 | 9 | 6 | 2 | 13 |
| | **Grand Total** | **32** | **54** | **41** | **45** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 2 | 2 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 55 | 46 | 9 |
| | **Grand total** | **0** | **57** | **48** | **9** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

**INVESTORS COMPLAINTS DATA FOR SMALL AND MEDIUM ENTERPRISES (SME)-INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)**

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS) by Small and Medium Enterprises:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during ther month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

## INVESTORS COMPLAINTS DATA FOR RIGHTS ISSUE

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Rights Issue:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month# | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during ther month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

**INVESTORS COMPLAINTS DATA FOR QUALIFIED INSTITUTIONS PLACEMENT (QIP)**

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Qualified Institutions Placement (QIP):**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during ther month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

## INVESTORS COMPLAINTS DATA FOR PREFERENTIAL ISSUE

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Preferential Issue:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month# | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during ther month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

## INVESTORS COMPLAINTS DATA FOR BUYBACK OF SECURITIES

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Buyback of Securities:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during ther month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

## INVESTORS COMPLAINTS DATA FOR DELISTING OF EQUITY SHARES

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Delisting of Equity Shares:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during ther month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

**INVESTORS COMPLAINTS DATA FOR SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS**

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Substantial Acquisitions of Shares and Takeovers:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month# | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during ther month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

## INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF DEBT SECURITIES

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Public Issue of Debt Securities:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (For 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

**INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES**

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Public Issue of Non-convertible Redeemable Preference Shares:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (For 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

**INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF DEBT SECURITIES AND NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES**

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Private Placement of Debt Securities and Non-convertible Redeemable Preference Shares:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month# | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (For 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

**INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF MUNICIPAL DEBT SECURITIES**

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Private Placements of Municipal Debt Securities:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (For 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

**IINVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF INVITs**

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Public Issue of Units by InvITs:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month# | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.
# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.
# Inclusive of complaints pending as on the last day of the year.

## INVESTORS COMPLAINTS DATA FOR PUBLIC ISSUE OF REITs

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Public Issue of Units by REITs:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month# | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

**INVESTORS COMPLAINTS DATA FOR PRIVATE PLACEMENT OF INVITs**

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026 with respect to Private Placement of Units by InvITs proposed to be listed:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Stock Exchanges (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** | **0** | **0** |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 0 | 0 | 0 |
| 2 | July, 2026 | 0 | 0 | 0 | 0 |
| 3 | August, 2026 | 0 | 0 | 0 | 0 |
| 4 | September, 2026 | 0 | 0 | 0 | 0 |
| 5 | October, 2026 | 0 | 0 | 0 | 0 |
| | **Grand Total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 0 | 0 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 0 | 0 | 0 |
| | **Grand total** | **0** | **0** | **0** | **0** |

* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

**COLLECTIVE INVESTORS COMPLAINTS DATA FOR**
**i. INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)**
**ii. SMALL AND MEDIUM ENTERPRISES (SME)-INITIAL PUBLIC OFFER (IPO) AND FURTHER PUBLIC OFFER (FPO) INCLUDING OFFER FOR SALE (OFS)**
**iii. RIGHTS ISSUE**
**iv. QUALIFIED INSTITUTIONS PLACEMENT (QIP)**
**v. PREFERENTIAL ISSUE**
**vi. BUYBACK OF SECURITIES**
**vii. DELISTING OF EQUITY SHARES**
**viii. SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS**

**Details of investors complaints' received by PL Capital Markets Private Limited up to October 31, 2026:**

**Data for the month ended October 31, 2026:**

| Sr. No. | Received from | Pending as at the end of last month | Received during the month | Resolved during the month* | Total Pending during the month # | Pending complaints > 1 month | Average Resolution time^ (in days) |
|---|---|---|---|---|---|---|---|
| 1 | Directly from Investors | 2 | 1 | 0 | 3 | 0 | - |
| 2 | SEBI (SCORES) | 1 | 3 | 2 | 2 | 0 | 28 |
| 3 | Stock Exchanges (if relevant) | 3 | 1 | 0 | 4 | 0 | - |
| 4 | Other Sources (if any) | 3 | 1 | 0 | 4 | 0 | - |
| | **Grand Total** | **9** | **6** | **2** | **13** | **0** | - |

**Trend of monthly disposal of complaints (For 5 months on rolling basis):**

| Sr. No. | Month | Carried forward from previous month | Received during the month | Resolved during ther month* | Pending at the end of the month# |
|---|---|---|---|---|---|
| 1 | June, 2026 | 0 | 6 | 1 | 5 |
| 2 | July, 2026 | 5 | 12 | 2 | 15 |
| 3 | August, 2026 | 15 | 8 | 20 | 3 |
| 4 | September, 2026 | 3 | 22 | 16 | 9 |
| 5 | October, 2026 | 9 | 6 | 2 | 13 |
| | **Grand Total** | **32** | **54** | **41** | **45** |

* Inclusive of complaints of previous months resolved in the current month.
# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of annual (financial year) disposal of complaints (for 3 years on rolling basis):**

| Sr. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year* | Pending at the end of the year# |
|---|---|---|---|---|---|
| 1 | 2024-25 | 0 | 2 | 2 | 0 |
| 2 | 2025-26 | 0 | 0 | 0 | 0 |
| 3 | 2026-27 | 0 | 55 | 46 | 9 |
| | **Grand total** | **0** | **57** | **48** | **9** |

* Inclusive of complaints of previous years resolved in the current year.
# Inclusive of complaints pending as on the last day of the year.